

UMTG–220

# Nonstandard coproducts and the Izergin-Korepin open spin chain

Rafael I. Nepomechie

Physics Department, P.O. Box 248046, University of Miami

Coral Gables, FL 33124 USA

## Abstract

Corresponding to the Izergin-Korepin ( $A_2^{(2)}$ )  $R$  matrix, there are three diagonal solutions (“ $K$  matrices”) of the boundary Yang-Baxter equation. Using these  $R$  and  $K$  matrices, one can construct transfer matrices for open integrable quantum spin chains. The transfer matrix corresponding to the identity matrix  $K = \mathbb{I}$  is known to have  $U_q(o(3))$  symmetry. We argue here that the transfer matrices corresponding to the other two  $K$  matrices also have  $U_q(o(3))$  symmetry, but with a nonstandard coproduct. We briefly explore some of the consequences of this symmetry.

# 1 Introduction and summary

The notion of coproduct is of fundamental importance in the theory of representations of algebras. Given a representation of an algebra on a vector space  $V$ , the coproduct  $\Delta$  determines the representation on the tensor product space  $V \otimes V$ . For a classical Lie algebra, the coproduct is trivial: for any generator  $x$ , the coproduct is  $\Delta(x) = x \otimes \mathbb{I} + \mathbb{I} \otimes x$ , where  $\mathbb{I}$  is the identity matrix. For quantum algebras, the situation is more interesting. Indeed, consider the case  $U_q(su(2))$ , with a set of three generators  $\{j_\pm, h\}$  obeying

$$[h, j_\pm] = \pm j_\pm. \quad (1)$$

As is well known, the “standard” coproduct

$$\begin{aligned} \Delta(h) &= h \otimes \mathbb{I} + \mathbb{I} \otimes h, \\ \Delta(j_\pm) &= j_\pm \otimes q^h + q^{-h} \otimes j_\pm, \end{aligned} \quad (2)$$

is compatible with the commutation relation

$$[j_+, j_-] = \frac{q^{2h} - q^{-2h}}{q - q^{-1}}. \quad (3)$$

Perhaps less well-known is the fact that there is also a “nonstandard” coproduct

$$\begin{aligned} \Delta(h) &= h \otimes \mathbb{I} + \mathbb{I} \otimes h, \\ \Delta(j_\pm) &= j_\pm \otimes \mathbb{I} + q^h \otimes j_\pm, \end{aligned} \quad (4)$$

which is compatible instead with the  $q$ -commutation relation

$$j_+ j_- - q^{-1} j_- j_+ = \frac{\mathbb{I} - q^{2h}}{1 - q^2}. \quad (5)$$

Remarkably, both of these types of coproducts can be realized in the open integrable quantum spin chain constructed with the  $A_2^{(2)}$   $R$  matrix [1] by choosing appropriate boundary conditions. Let us briefly recall the history of this model. Sklyanin [2] pioneered the generalization of the Quantum Inverse Scattering Method [3] to systems with boundaries, and showed that integrable boundary conditions can be obtained from solutions  $K(u)$  of the boundary Yang-Baxter equation [4], [5]. This approach was then generalized [6] to spin chains associated with general affine Lie algebras [7], [8]. In particular, for the  $A_2^{(2)}$  case, it was found [9] that there are only three diagonal solutions of the boundary Yang-Baxter equation:

$$K^{(0)}(u) = \mathbb{I} = \text{diag}(1, 1, 1),$$

$$\begin{aligned}
K^{(1)}(u) &= \text{diag}\left(e^{-u}, \frac{\sinh(\frac{1}{2}(3\eta - \frac{i\pi}{2} + u))}{\sinh(\frac{1}{2}(3\eta - \frac{i\pi}{2} - u))}, e^u\right), \\
K^{(2)}(u) &= \text{diag}\left(e^{-u}, \frac{\cosh(\frac{1}{2}(3\eta - \frac{i\pi}{2} + u))}{\cosh(\frac{1}{2}(3\eta - \frac{i\pi}{2} - u))}, e^u\right),
\end{aligned} \tag{6}$$

where  $u$  is the spectral parameter, and  $\eta$  is the anisotropy parameter. Let us denote the corresponding transfer matrices for open quantum spin chains with  $N$  sites by  $t^{(i)}(u)$ ,  $i = 0, 1, 2$ . (The construction of these transfer matrices is described below in Section 2.) It was shown in [10], [11] that the transfer matrix  $t^{(0)}(u)$  constructed with the identity matrix  $K^{(0)}$  has  $U_q(o(3))$  symmetry:

$$[t^{(0)}(u), S^\pm] = 0, \quad [t^{(0)}(u), S^3] = 0, \tag{7}$$

where the generators obey

$$[S^3, S^\pm] = \pm S^\pm, \quad [S^+, S^-] = \frac{q^{2S^3} - q^{-2S^3}}{q - q^{-1}}, \tag{8}$$

and

$$S^\pm = \sum_{k=1}^N q^{s_N^3 + \dots + s_{k+1}^3} s_k^\pm q^{-(s_{k-1}^3 + \dots + s_1^3)}, \quad S^3 = \sum_{k=1}^N s_k^3, \tag{9}$$

where

$$s^+ = \sqrt{2 \cosh \eta} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \quad s^- = \sqrt{2 \cosh \eta} \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \quad s^3 = \begin{pmatrix} 1 & & \\ & 0 & \\ & & -1 \end{pmatrix}, \tag{10}$$

and  $q = e^\eta$ . That is, the transfer matrix has quantum algebra symmetry with the “standard” coproduct (2). This is a generalization of the observation [12], [13] of  $U_q(su(2))$  symmetry for the  $A_1^{(1)}$  case. Batchelor and Yung [14] later showed that the open  $A_2^{(2)}$  spin chain can be mapped to the problem of polymers at surfaces, and that the above three solutions  $K^{(i)}(u)$  correspond to three distinct surface critical behaviors.

There has remained the question: what symmetry – if any – do the transfer matrices constructed with  $K^{(1)}$  and  $K^{(2)}$  have? Naively, one expects that since  $K \neq \mathbb{I}$ , there is less symmetry.<sup>1</sup> However, this is *not* the case. We argue here that the transfer matrices  $t^{(1)}(u)$  and  $t^{(2)}(u)$  also have  $U_q(o(3))$  symmetry, but with a “nonstandard” coproduct (4):

$$[t^{(i)}(u), S^\pm] = 0, \quad [t^{(i)}(u), S^3] = 0, \quad i = 1, 2, \tag{11}$$

---

<sup>1</sup>This expectation holds true for the  $A_n^{(1)}$  case [15]. Indeed, there the diagonal  $K$  matrices contain an additional continuous parameter  $\xi$ ; and  $K = \mathbb{I}$  is a point ( $\xi \rightarrow \infty$ ) of enhanced symmetry.

where the generators obey

$$[S^3, S^\pm] = \pm 2S^\pm, \quad S^+ S^- - q^{-2} S^- S^+ = \frac{\mathbb{I} - q^{2S^3}}{1 - q^2}, \quad (12)$$

and

$$S^\pm = \sum_{k=1}^N s_k^\pm q^{s_{k-1}^3 + \dots + s_1^3}, \quad S^3 = \sum_{k=1}^N s_k^3, \quad (13)$$

where

$$s^+ = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad s^- = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad s^3 = \begin{pmatrix} 1 & & \\ & 0 & \\ & & -1 \end{pmatrix}, \quad (14)$$

and  $q = e^{4\eta}$ . Knowledge of such symmetry is essential for understanding important features of the models such as degeneracies of the spectrum and the Bethe Ansatz solution. Eqs. (11) - (14) are the main results of this Letter. In Section 2 we provide some pertinent details about the construction and symmetry of the models, and we conclude in Section 3 with a brief discussion.

## 2 Some details

In this Section, we briefly review the construction of the transfer matrices, and outline the argument for their symmetry. The solution  $R(u)$  of the Yang-Baxter equation found by Izergin and Korepin [1], which corresponds [7],[8] to the case  $A_2^{(2)}$ , can be written in the following form [16], [11]

$$R(u) = \begin{pmatrix} \begin{array}{c|c|c} c & & \\ & b & \\ \hline & d & e \\ & & g \\ & & f \end{array} \\ \begin{array}{c|c|c} \bar{e} & b & \\ & a & g \\ & b & e \end{array} \\ \begin{array}{c|c|c} \bar{f} & \bar{g} & d \\ & \bar{e} & b \\ & & c \end{array} \end{pmatrix} \quad (15)$$

where

$$a = \sinh(u - 3\eta) - \sinh 5\eta + \sinh 3\eta + \sinh \eta, \quad b = \sinh(u - 3\eta) + \sinh 3\eta,$$

$$\begin{aligned}
c &= \sinh(u - 5\eta) + \sinh \eta, & d &= \sinh(u - \eta) + \sinh \eta, \\
e &= -2e^{-\frac{u}{2}} \sinh 2\eta \cosh(\frac{u}{2} - 3\eta), & \bar{e} &= -2e^{\frac{u}{2}} \sinh 2\eta \cosh(\frac{u}{2} - 3\eta), \\
f &= -2e^{-u+2\eta} \sinh \eta \sinh 2\eta - e^{-\eta} \sinh 4\eta, & \bar{f} &= 2e^{u-2\eta} \sinh \eta \sinh 2\eta - e^{\eta} \sinh 4\eta, \\
g &= 2e^{-\frac{u}{2}+2\eta} \sinh \frac{u}{2} \sinh 2\eta, & \bar{g} &= -2e^{\frac{u}{2}-2\eta} \sinh \frac{u}{2} \sinh 2\eta.
\end{aligned}$$

It has the regularity property  $R(0) \propto \mathcal{P}$ , where  $\mathcal{P}$  is the permutation matrix, as well as unitarity,  $PT$  symmetry, and crossing symmetry

$$R_{12}(u) = V_1 R_{12}(-u - \rho)^{t_2} V_1 = V_2^{t_2} R_{12}(-u - \rho)^{t_1} V_2^{t_2}, \quad (16)$$

where the crossing matrix  $V$  is given by

$$V = \begin{pmatrix} & -e^{-\eta} \\ & 1 \\ -e^{\eta} & \end{pmatrix}, \quad (17)$$

and  $\rho = -6\eta - i\pi$ .

Given a solution  $K(u)$  of the boundary Yang-Baxter equation, a corresponding transfer matrix  $t(u)$  for an open integrable quantum spin chain with  $N$  sites is given by [2], [6], [15]

$$t(u) = \text{tr}_0 M_0 K_0(-u - \rho)^{t_0} \mathcal{T}_0(u), \quad (18)$$

where

$$\mathcal{T}_0(u) = T_0(u) K_0(u) \hat{T}_0(u), \quad (19)$$

with

$$T_0(u) = R_{0N}(u) \cdots R_{01}(u), \quad \hat{T}_0(u) = R_{10}(u) \cdots R_{N0}(u), \quad (20)$$

and

$$M = V^t V = \text{diag}(e^{2\eta}, 1, e^{-2\eta}). \quad (21)$$

Indeed, the transfer matrix forms a one-parameter commutative family  $[t(u), t(v)] = 0$ , which contains the Hamiltonian  $\mathcal{H}$ ,

$$\mathcal{H} \propto \left. \frac{d}{du} t(u) \right|_{u=0}. \quad (22)$$

For the three  $K$  matrices  $K^{(i)}(u)$  given in Eq. (6), we denote by  $t^{(i)}(u)$  the corresponding transfer matrices, and by  $\mathcal{H}^{(i)}$  the corresponding Hamiltonians. We now restrict our attention

to the cases  $i = 1, 2$ . For 2 sites ( $N = 2$ ), we have checked the  $U_q(o(3))$  symmetry (11) - (14) of the transfer matrix by direct computation. In particular, Eq. (22) implies that the 2-site Hamiltonian also has this symmetry. For general  $N$ , the Hamiltonian is given by a sum of 2-site Hamiltonians plus boundary terms. It follows that, for general  $N$ , the Hamiltonian  $\mathcal{H}^{(i)}$  has  $U_q(o(3))$  symmetry

$$[\mathcal{H}^{(i)}, S^\pm] = 0, \quad [\mathcal{H}^{(i)}, S^3] = 0, \quad i = 1, 2, \quad (23)$$

where the symmetry generators obey (12) - (14). We have also checked the symmetry (11) of the transfer matrix for 3 sites ( $N = 3$ ) by direct computation, and we conjecture that it holds for general  $N$ .

We remark that the symmetry generators  $S^\pm, S^3$  defined in (13), (14) lie in the fundamental algebraic structures of QISM. Indeed, note the asymptotic behavior of the  $R$  and  $K$  matrices for  $u \rightarrow \infty$  :

$$R(u) \sim e^u R^+ + R^{++} + O(e^{-u}), \quad (24)$$

$$K^{(i)}(u) \sim e^u K^{(i)+} + K^{(i)++} + O(e^{-u}), \quad i = 1, 2, \quad (25)$$

where  $R^+, R^{++}, K^{(i)+}, K^{(i)++}$  are independent of  $u$ . It follows that the quantity  $\mathcal{T}^{(i)}(u)$  defined as in Eq. (19) has the asymptotic behavior for  $u \rightarrow \infty$

$$\mathcal{T}^{(i)}(u) \sim e^{(2N+1)u} \mathcal{T}^{(i)+} + e^{2Nu} \mathcal{T}^{(i)++} + \dots, \quad (26)$$

where  $\mathcal{T}^{(i)+}, \mathcal{T}^{(i)++}$  are independent of  $u$ . The basic observation is that the generators  $S^\pm$  lie in the antidiagonal corners of  $\mathcal{T}^{(i)++}$  (viewed as a  $3 \times 3$  auxiliary-space matrix, with operator-valued entries):

$$\mathcal{T}^{(i)++} = \begin{pmatrix} 0 & 0 & S^- \\ 0 & * & * \\ S^+ & * & * \end{pmatrix}. \quad (27)$$

We expect that this observation will be useful for formulating a QISM proof of the symmetry (11).

### 3 Discussion

One immediate consequence of the symmetry which we have uncovered is the explanation of degeneracies in the spectrum for finite  $N$ . For instance, consider the pseudovacuum vector

$$\omega = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}^{\otimes N},$$

$$t^{(i)}(u) \omega = \Lambda^{(i)}(u) \omega, \quad i = 1, 2, \quad (28)$$

where  $\Lambda^{(i)}(u)$  is the corresponding pseudovacuum eigenvalue. Commutativity of the transfer matrix with  $S^-$  implies that the vectors  $(S^-)^n \omega$  for  $n = 1, 2, \dots, N$  are also eigenvectors of the transfer matrix with the same eigenvalue.

Note that the pseudovacuum vector  $\omega$  is annihilated by  $S^+$ ; that is,  $S^+ \omega = 0$ . We expect that all Bethe Ansatz states (which can presumably be constructed by applying appropriate creation-like operators to  $\omega$ ) are such highest-weight states. (See, e.g., [17], [10], [18], [19].)

Finally, we remark that we have considered here only the first of the infinite family of models  $A_{2n}^{(2)}$ ,  $n = 1, 2, \dots$ . For these  $R$  matrices [7], [8], there are again only three distinct diagonal solutions of the boundary Yang-Baxter equation:  $K^{(0)} = \mathbb{I}$  [9], and  $K^{(1)}$ ,  $K^{(2)}$  given in [20]. The transfer matrix constructed with  $K^{(0)}$  has [10] the symmetry  $U_q(o(2n+1))$  with the standard coproduct. We expect that the transfer matrices constructed with  $K^{(1)}$  and  $K^{(2)}$  also have  $U_q(o(2n+1))$  symmetry, but with a nonstandard coproduct. We hope to report on this and related matters in a future publication.

## Acknowledgments

I am grateful to O. Alvarez for his helpful comments. This work was supported in part by the National Science Foundation under Grant PHY-9870101.

## References

- [1] A.G. Izergin and V.E. Korepin, Commun. Math. Phys. *79* (1981) 303.
- [2] E.K. Sklyanin, J. Phys. *A21* (1988) 2375.
- [3] V.E. Korepin, N.M. Bogoliubov, and A.G. Izergin, *Quantum Inverse Scattering Method, Correlation Functions and Algebraic Bethe Ansatz* (Cambridge University Press, 1993).
- [4] I.V. Cherednik, Theor. Math. Phys. *61* (1984) 977.
- [5] S. Ghoshal and A. B. Zamolodchikov, Int. J. Mod. Phys. *A9* (1994) 3841; *A9* (1994) 4353.

- [6] L. Mezincescu and R.I. Nepomechie, J. Phys. *A24* (1991) L17.
- [7] V.V. Bazhanov, Phys. Lett. *159B* (1985) 321; Commun. Math. Phys. *113* (1987) 471.
- [8] M. Jimbo, Commun. Math. Phys. *102* (1986) 537; *Lecture Notes in Physics*, Vol. 246, (Springer, 1986), p. 335.
- [9] L. Mezincescu and R.I. Nepomechie, Int. J. Mod. Phys. *A6* (1991) 5231; *A7* (1992) 5657.
- [10] L. Mezincescu and R.I. Nepomechie, Mod. Phys. Lett. *A6* (1991) 2497.
- [11] L. Mezincescu and R.I. Nepomechie, Nucl. Phys. *B372* (1992) 597.
- [12] V. Pasquier and H. Saleur, Nucl. Phys. *B330* (1990) 523.
- [13] P.P. Kulish and E.K. Sklyanin, J. Phys. *A24* (1991) L435.
- [14] M.T. Batchelor and C.M. Yung, Nucl. Phys. *B435*, 430 (1995); Phys. Rev. Lett. *74* (1995) 2026.
- [15] A. Doikou and R.I. Nepomechie, Nucl. Phys. *B530* (1998) 641.
- [16] P.P. Kulish and E.K. Sklyanin, J. Sov. Math. *19* (1982) 1596.
- [17] L.D. Faddeev and L.A. Takhtajan, J. Sov. Math. *24* (1984) 241.
- [18] H.J. de Vega and A. González-Ruiz, Phys. Lett. *B332* (1994) 123, hep-th/9405023
- [19] A. Förster and M. Karowski, Nucl. Phys. *B408* (1993) 512; M. Karowski and A. Zapletal, J. Phys. *A27* (1994) 7419.
- [20] M.T. Batchelor, V. Fridkin, A. Kuniba and Y.K. Zhou, Phys. Lett. *376B* (1996) 266.